

**Definition 0.1.** Let  $k$  be a field and  $V$  a  $k$ -vector space.

a) A subset  $M \subset \text{End}(V)$  is nilpotent of level  $N$  if  $A_1 \dots A_N = 0$  for any  $A_1 \dots A_N \in M$ .  $M$  is nilpotent if it is nilpotent of level  $N$  for some  $N$

b) A subset  $M \subset \text{End}(V)$  is weakly closed if  $[M, M] \subset M$  (that is  $[m', m''] \in M, \forall m', m'' \in M$ ).

c) For a weakly closed subset  $M \subset \text{End}(V)$  we denote by  $\tilde{M} \subset \text{End}(V)$  the Lie subalgebra generated by  $M$ .

**Problem 0.2.** a) If  $M \subset \text{End}(V)$  is weakly closed,  $N \subset M$  and  $A \in M$  be such that  $[A, \tilde{N}] \subset \tilde{N}$  then  $\tilde{N}A \subset A\tilde{N} + \tilde{N}$ .

b) If  $N \subset \text{End}(V)$  is a nilpotent subset then there exists  $k > 0$  such that any product of  $k$  endomorphisms of  $V$  such that  $k-1$  of them belong to  $N$  is equal to zero.

Given  $M \subset \text{End}(V), W \subset V$  such that  $MW \subset W$  we denote by  $M_W \subset \text{End}(W), M_{V/W} \subset \text{End}(V/W)$  the induced sets of operators.

c) If  $M_W \subset \text{End}(W), M_{V/W} \subset \text{End}(V/W)$  are nilpotent then  $M \subset \text{End}(V)$  is nilpotent.

**Lemma 0.3.** If  $M \subset \text{End}(V)$  is a weakly closed subset,  $N \subset M$  is such that  $\tilde{N}$  is nilpotent and  $\tilde{N} \neq \tilde{M}$  then there exists  $A \in M - M \cap \tilde{N}$  such that  $[A, N] \subset \tilde{N}$ .

*Proof.* Since  $\tilde{N} \neq \tilde{M}$  there exists  $A_1 \in M - M \cap \tilde{N}$ . If  $[A_1, N] \subset \tilde{N}$  we can take  $A = A_1$ . Otherwise there exists  $X_1 \in N$  such that  $A_2 := [A_1, X_1] \notin \tilde{N}$ . Since  $M$  is weakly closed we have  $A_2 \in M - M \cap \tilde{N}$ . If  $[A_2, N] \subset \tilde{N}$  we take  $A = A_2$  otherwise we repeat the construction. It is clear that either this procedure leads in a finite number of steps to a required element  $A$  or we obtain an infinite sequence  $A_1 \dots A_n, \dots \in M$  such that  $A_i = [A_{i-1}, X_{i-1}]$  where  $X_{i-1} \in N, A_i \notin \tilde{N}$ .

To see that the last possibility does not occur observe that for any  $k > 0$  the operator  $A_k$  is a linear combination of products of  $A_1$  and  $k-1$  factors belonging to  $N$ . But it follows from the problem 2b) that  $A_k = 0$  for  $k \gg 0$ .  $\square$

**Theorem 0.4.** Let  $k$  be a field and  $M \subset \text{End}(V)$  a weakly closed subset such that any element  $A \in M$  is nilpotent. Then  $\tilde{M} \subset \text{End}(V)$  is nilpotent.

*Proof.* The proof is by induction in  $\dim(V)$ . The result is true when  $\dim(V) = 0$  or  $M = \emptyset$ . So we may assume that  $\dim(V) > 0$  and

$M \neq \emptyset$ . Let  $\Omega$  be the collection of subsets  $N \subset M$  such that  $\tilde{N}$  is nilpotent. It is easy to see [please show] that  $\Omega$  contains a maximal element  $N$  [that is  $N \subset M$  is such that  $\tilde{N}$  is nilpotent but for any  $N' \subset M, N' \neq N$  the set  $\tilde{N}'$  is not nilpotent] and  $\tilde{N} \neq \{0\}$ . It is sufficient to show that  $\tilde{N} = \tilde{M}$ .

Let  $W := \tilde{N}V \subset V$ .  $W \neq \{0\}$  since  $\tilde{N} \neq \{0\}$ . Moreover  $W \neq V$ . For otherwise we could write any  $v \in V$  in the form  $v = \sum X_i v_i, X_i \in \tilde{N}, v_i \in V$ . Repeating the procedure we will see that for any  $k > 0$  we could write any  $v \in V$  in the form  $v = \sum_i \prod_{j=1}^k X_i^j v_i, X_i^j \in \tilde{N}, v_i \in V$ . Since  $\tilde{N}$  is nilpotent this would imply that  $V = \{0\}$ . So  $W \neq V$ .

Let  $R := \{A \in M | AW \subset W\}$ . By the construction  $N \subset R$ .

**Problem 0.5.** Show that  $\tilde{R}$  is nilpotent.

A hint. Use the inductive assumptions.

If  $N \neq M$  then by Lemma 3 there exists  $A \in M - M \cap \tilde{N}$  such that  $[A, N] \subset \tilde{N}$ . I claim that  $A \in R$  [that is  $AW \subset W$ ]. Since  $W = \tilde{N}V$  I have to show that  $AYv \in W$  for all  $Y \in \tilde{N}, v \in V$ . By Lemma 2a) we have  $AYv = Y_1Av + Y_2v, Y_1, Y_2 \in \tilde{N}$ . So  $AW \subset W$  and  $A \in R$ .

Since  $N$  a maximal element of  $\Omega$  and [by Problem 5]  $\tilde{R}$  is nilpotent we see that  $R = N$ . So  $A \in \tilde{N}$ . But by the construction  $A \in M - M \cap \tilde{N}$ .  $\square$

**Remark** The Engel's theorem follows immediately from the proof Theorem 4 [please explain].

**Problem 0.6.** Let  $\alpha$  be an automorphism of a Lie algebra  $\mathfrak{g}$ . For any  $\lambda \in k$  we define  $\mathfrak{g}_\lambda := \{x \in \mathfrak{g} | \alpha(x) = \lambda x\}$ .

a) Show that  $[\mathfrak{g}_\lambda, \mathfrak{g}_\mu] \subset \mathfrak{g}_{\lambda\mu}$ .

b)\* If  $\mathfrak{g}_1 = \{0\}$  and there exists a prime number  $p$  such that  $\alpha^p = Id_{\mathfrak{g}}$  then  $\mathfrak{g}$  is nilpotent.

c) Construct a Lie algebra  $\mathfrak{g}$  and an automorphism  $\alpha$  of  $\mathfrak{g}$  such that  $\mathfrak{g}_1 = \{0\}, \alpha^4 = Id_{\mathfrak{g}}$  but  $\mathfrak{g}$  is not nilpotent.

**Problem 0.7.** a) Let  $\mathfrak{g}$  be a nilpotent Lie algebra. Show the existence of a chain of ideals  $\mathfrak{h}_0 \subset \mathfrak{h}_1 \subset \dots \mathfrak{h}_d = \mathfrak{g}$  such that  $\dim(\mathfrak{h}_i) = i, 0 \leq i \leq d = \dim(\mathfrak{g})$ .

b) Let  $\mathfrak{g}$  be a solvable Lie algebra and  $k$  is algebraically close. Show the existence of a chain of ideals  $\mathfrak{h}_0 \subset \mathfrak{h}_1 \subset \dots \mathfrak{h}_d = \mathfrak{g}$  such that  $\dim(\mathfrak{h}_i) = i, 0 \leq i \leq d = \dim(\mathfrak{g})$ .

c) Give an example of solvable Lie  $\mathfrak{g}$  over  $\mathbb{R}$  such that there is no chain of ideals  $\mathfrak{h}_0 \subset \mathfrak{h}_1 \subset \dots \mathfrak{h}_d = \mathfrak{g}$  such that  $\dim(\mathfrak{h}_i) = i, 0 \leq i \leq d = \dim(\mathfrak{g})$ .

d) Let  $\mathfrak{g}$  be a Lie algebra over an algebraically closed field. Show that  $\mathfrak{g}$  is not nilpotent iff it contains a two-dimensional non-commutative subalgebra.

I assume that you know the following result.

**Lemma 0.8.** Let  $k$  be a perfect field,  $K \supset k$  an algebraically closed field containing  $k$ . Then any element  $x \in K$  such that  $\gamma(x) = x$  for all  $\gamma \in \text{Aut}(K/k)$  belongs to  $k$ .

**Remark.** Any field of characteristic zero is perfect.

**Problem 0.9.** Let  $K \supset k$  be as in Lemma 8

a) Let  $L \subset K^n$  be a line such that  $\gamma(V) = V$  for all  $\gamma \in \text{Aut}(K/k)$ . Show the existence of a line  $L \subset k^n$  such that  $\tilde{L} = L \otimes_k K$ .

b)\* Let  $\tilde{V} \subset K^n$  be a subspace such that  $\gamma(V) = V$  for all  $\gamma \in \text{Aut}(K/k)$ . Show the existence of a  $k$ -subspace  $V \subset k^n$  such that  $\tilde{V} = V \otimes_k K$ .

c) Show that for any Lie algebra  $\mathfrak{g}$  over a field  $k$  and an extension  $K \supset k$  there exists unique Lie algebra structure  $[\cdot, \cdot] : \mathfrak{g} \otimes_k K \times \mathfrak{g} \otimes_k K \rightarrow \mathfrak{g} \otimes_k K$  such that

$$[x \otimes a, y \otimes b] = [x, y] \otimes ab, x, y \in \mathfrak{g}, a, b \in K$$

We say that the Lie algebra  $\mathfrak{g} \otimes_k K$  is obtained from  $\mathfrak{g}$  by extension of scalars from  $k$  to  $K$ .

d) Show that a  $k$ -Lie algebra  $\mathfrak{g}$  is solvable iff the  $K$ -Lie algebra  $\mathfrak{g} \otimes_k K$  is solvable.

e) Show that  $\text{rad}(\mathfrak{g} \otimes_k K) = \text{rad}(\mathfrak{g}) \otimes_k K$  if  $k$  is a perfect field.

A hint. Use the part b) of the problem.

f)\* Prove the Cartan criterion for all fields  $k$  of characteristic zero of cardinality not greater than the continuum.

**Jordan normal form.**

For any  $\lambda \in k, n \geq 0$  we denote by  $A_{\lambda, n}$  the linear operator in the linear space  $W_n$  such that  $V_n$  has a basis  $w_0, \dots, w_n$  and  $A_{\lambda, n} w_i = \lambda w_i + w_{i+1}$  where  $w_{n+1} := 0$ .

**Theorem 0.10.** *Let  $k$  be an algebraically closed field,  $V$  a finite-dimensional  $k$ -vector space and  $A \in \text{End}(V)$ . Then there exists a decomposition  $V = \bigoplus_{i=1}^r V_i$  of  $V$  into a direct sum of  $A$ -invariant subspaces such that for any  $i, 1 \leq i \leq r$  the restriction of  $A$  on  $V_i$  is equivalent to  $A_{\lambda,n}$  for some  $\lambda \in k, n \geq 0$ .*

*Proof.* Let  $\lambda_1, \dots, \lambda_s$  be the set of roots of the characteristic polynomial  $P_A(t) := \det(A - t\text{Id}_V)$ . For any  $i, 1 \leq i \leq s$  we define

$$V^i := \{v \in V \mid (A - \lambda_i \text{Id}_V)^{\dim(V)} v = 0\}$$

**Problem 0.11.**  $V = \bigoplus_{i=1}^s V^i$

We see that it is sufficient to prove the theorem for such operators  $A$  that  $(A - \lambda_i \text{Id}_V)^{\dim(V)} = 0$  for some  $\lambda \in k$ . Therefore we can assume that  $A^{\dim(V)} = 0$ . Let  $n \geq 0$  be such that  $A^n \neq 0$  but  $A^{n+1} = 0$ . Choose a vector  $w_0 \in V$  such that  $A^n w_0 \neq 0$ . Let  $w_i := A^i w_0$  and  $W \subset V$  be the span of  $w_0, \dots, w_n$ . It is clear that  $W$  is an  $A$ -invariant subspace of  $V$  and the restriction of  $A$  on  $W$  is equivalent to  $A_{0,n}$ . Therefore for the proof of the theorem it is sufficient to show the existence of an  $A$ -invariant subspace  $W'$  of  $V$  such that  $V = W \oplus W'$ .

To construct  $W'$  we fix a linear functional  $f : V \rightarrow k$  such that  $f(w_n) = 1$  and  $f(w_i) = 0$  for  $i < n$  and consider a linear map  $p : V \rightarrow W$  given by  $p(v) := \sum_{i=0}^n f(A^{n-i}v)w_i$ .

**Problem 0.12.** a)  $p_W = \text{Id}_W$ .

b)  $Ap = pA$ .

c)  $W' := \text{Ker}(p)$  is  $A$ -invariant and  $V = W \oplus W'$ .

□

**Problem 0.13.** *Exercises 5.1 and 5.4 from the Kirillov's book.*

The following result is used in the proof of Theorem 5.43 in Kirillov.

**Problem 0.14.** *Let  $\mathfrak{g} \subset V$  be a Lie subalgebra over a field of characteristic zero,  $R \subset \mathfrak{g}$  is an ideal and  $v \in V - \{0\}$  a vector such that  $rv = \lambda(r)v, \lambda(r) \in k, \forall r \in R$ . Fix  $x \in \mathfrak{g}$  and define  $W := \text{span}\{v, xv, x^2v, \dots\}$ . Then*

a)  $W$  is stable under the action of any  $r \in R$ .

b)  $\lambda([x, r]) = 0, \forall x \in \mathfrak{g}, r \in R$ .

c)  $rv^k = \lambda(r)v^k, \forall k \geq 0$  if  $\lambda(r) = 0$ .

Now we can prove Theorem 5.43. I'll consider  $\mathfrak{g}/\text{Ker}(\rho)$  as a subalgebra in  $\text{End}(V)$ . Let  $R = \text{rad}(\mathfrak{g})$ . Choose  $v \in V - \{0\}$  a vector such that  $rv = \lambda(r)v, \lambda(r) \in k, \forall r \in R$ . It follows from Problem 14 b) that  $\lambda([x, r]) = 0$  for any  $x \in \mathfrak{g}, r \in R$ . Define  $\tilde{R} := [R, \mathfrak{g}], W := \{w \in V | \tilde{r}v = 0, \forall \tilde{r} \in \tilde{R}\}$ . Since  $\tilde{R} \subset \mathfrak{g}$  is an ideal  $\mathfrak{g}W \subset W$ . Since  $V$  is irreducible and  $v \in W$  we see that  $W = V$ . So  $\rho(\tilde{R}) = 0$ .