

In this lecture we describe finite-dimensional representations of the Lie algebra  $sl_2(k)$  in the case when  $char(k) = 0$  and  $k$  is algebraically closed.

The algebra  $sl_2(k)$  has a basis  $e, f, h$  such that

$$[e, f] = h, [h, e] = 2e, [h, f] = -2f$$

For any  $n$  we denote by  $V_n$  the  $n + 1$ -dimensional space with a basis  $v_{n-2k}, 0 \leq k \leq n$  and denote by  $\rho_n : sl_2(k) \rightarrow End(V_n)$  the linear map such that

$$\rho_n(h)v_{n-2k} = (n - 2k)v_{n-2k}, \rho_n(f)v_{n-2k} = v_{n-2k-2},$$

$$\rho_n(e)v_{n-2k} = k(n - k + 1)v_{n-2k+2}$$

**Theorem 0.1.**  $\rho_n$  is an irreducible representation of the Lie algebra  $sl_2(k)$ . Moreover any irreducible representation of  $sl_2(k)$  is equivalent to  $\rho_n$  for some  $n \geq 0$ .

**Remark 0.2.**  $V_0 = k$  and  $\rho_0 \equiv 0$

*Proof.* I leave for you to check that  $\rho_n$  is a representation of Lie algebra  $sl_2(k)$ . To prove the irreducibility of  $\rho_n$  we have to show that any invariant non-zero subspace  $W \subset V$  is equal to  $V$ . Choose a non-zero  $\rho_n(h)$ -eigenvector  $w \in W$ . Since all eigenvalues of the operator  $\rho_n(h)$  are distinct we have  $w = cv_{\lambda-2k}$  for some  $k, 0 \leq k \leq n$  and some non-zero  $c \in k$ . But then vectors  $\rho_n(f)^a w, \rho_n(e)^b w \in W$  span  $V$ .

Let  $\rho : sl_2(k) \rightarrow End(V)$  be an irreducible finite-dimensional representation of  $sl_2(k)$ . We write  $E = \rho(e), F = \rho(f), H = \rho(h)$ . For any  $\lambda \in k$  we define

$$V[\lambda] := \{v \in V | Hv = \lambda v\}$$

**Lemma 0.3.**  $EV[\lambda] \subset V[\lambda + 2], FV[\lambda] \subset V[\lambda - 2]$

*Proof.* If  $v \in V[\lambda]$  we have  $HEv = [H, E]v + EHv = 2Ev + E\lambda v = (\lambda + 2)Ev$ . Analogous arguments show that  $HFv = (\lambda - 2)Fv$ .  $\square$

Since  $V$  is finite-dimensional and  $char(k) = 0$  there exists  $\lambda \in k$  such that  $V[\lambda] \neq \{0\}$  and  $V[\lambda + 2] = \{0\}$ . Choose a non-zero vector  $v_\lambda \in V[\lambda]$ . Since  $V[\lambda + 2] = \{0\}$  we have  $Ev_\lambda = 0$ . For any  $k \geq 0$  define  $v_{\lambda-2k} := F^k(v_\lambda)$ .

**Lemma 0.4.**

$$Hv_{\lambda-2k} = (\lambda - 2k)v_{\lambda-2k}, Fv_{\lambda-2k} = v_{\lambda-2k-2}, Ev_{\lambda-2k} = k(\lambda - k + 1)v_{\lambda-2k+2}$$

*Proof.* The first equality follows from Lemma 2, the second from the definition of vectors  $v_{\lambda-2k}$ . We prove the equality

$$(\star_k) \quad Ev_{\lambda-2k} = k(\lambda - k + 1)v_{\lambda-2k+2}$$

by induction in  $k$ . By the definition of  $v_\lambda$  the equality  $(\star_0)$  is true. Assume that  $Ev_{\lambda-2k+2} = (\lambda - k)(k - 1)v_{\lambda-2k+4}$  the equality  $(\star_{k-1})$  is true. Then we have

$$\begin{aligned} Ev_{\lambda-2k} &= EFv_{\lambda-2k+2} = [E, F]v_{\lambda-2k+2} + FEv_{\lambda-2k+2} = \\ &= Hv_{\lambda-2k+2} + F(\lambda - k)(k - 1)v_{\lambda-2k+4} = \\ &= (\lambda - 2k + 2)v_{\lambda-2k+2} + (\lambda - k)(k - 1)Fv_{\lambda-2k+4} = \\ &= k[(\lambda - 2k + 2) + (\lambda - k)(k - 1)]v_{\lambda-2k+2} = k(\lambda - k + 1)v_{\lambda-2k+2} \end{aligned}$$

□

Since  $V$  is finite-dimensional and [by Lemma 2]  $F^k v_\lambda \in V[\lambda - 2k]$  there exists  $n \geq 0$  such that  $F^n v_\lambda \neq \{0\}$  but  $F^{n+1} v_\lambda = \{0\}$ .

I claim that  $\lambda \in \mathbb{Z}_{\geq 0}$ . Really if  $\lambda \notin \mathbb{Z}_{\geq 0}$  then  $(\lambda - k + 1)k \neq 0$  for all  $k > 0$ . From this we deduce by induction in  $k$  that  $v_{n-2k} \neq 0, \forall k \geq 0$ . But is impossible since  $\dim V < \infty$ .

So we can assume that  $\lambda = n \in \mathbb{Z}_{\geq 0}$ . I claim that the set

$$\{v_{n-2k}\}, 0 \leq k \leq n, v_{n-2k} := F^k(v_\lambda)$$

is a basis of  $V$ . Really it follows from Lemma 3 that vectors  $v_{n-2k}, 0 \leq k \leq n$  are linearly independent and that they generate an  $sl_2(k)$ -invariant subspace of  $V$ . Since  $V$  is irreducible vectors  $v_{n-2k}, 0 \leq k \leq n$  span  $V$ . It is clear now that the representation  $\rho$  is equivalent to the representation  $\rho_n$ .

□

**Definition 0.5.** We define the Casimir element  $\Delta \in U(sl_2(k))$  by  $\Delta := ef + fe + h^2/2 \in U(sl_2(k))$

**Problem 0.6.** Show that

a) The representations  $\rho_n$  are equivalent to ones constructed in the end of the lecture 1.

b)  $\Delta$  belongs to the center of the algebra  $U(sl_2(k))$ .

c)  $\rho_n(\Delta) = \frac{n(n+2)}{2} Id_{V_n}$

**Lemma 0.7.** Every exact sequence

$$\{0\} \rightarrow V' \rightarrow V \rightarrow k \rightarrow \{0\}$$

of finite-dimensional representations of  $sl_2(k)$  splits [that is  $V$  is equivalent to the direct sum  $V' \oplus k$ ].

*Proof.* a) Consider first the case when the representation  $V'$  is irreducible. As follows from Theorem 1 it is sufficient to show that any exact sequence of representations of  $sl_2(k)$  of the form

$$\{0\} \rightarrow V_n \rightarrow V \rightarrow k \rightarrow \{0\}$$

splits. We consider separately the cases  $n = 0$  and  $n \neq 0$ .

a') Assume that  $n = 0$ . So we have an exact sequence

$$\{0\} \rightarrow V_0 \rightarrow V \rightarrow k \rightarrow \{0\}$$

[I write  $V_0$  instead of  $k$  to distinguish between the subspace ( $V_0$ ) and the quotient space ( $k$ ) of  $V$ .] Choose a basis  $v_1, v_2$  of  $V$  such that  $v_1 \in V_0$ . Then we can write elements of  $End(V)$  as  $2 \times 2$  matrices. Let  $\rho$  be the representation of  $sl_2(k)$  on  $V$ . Since  $\rho_0 \equiv 0$  we obtain

$$\rho(x) = \begin{pmatrix} 0 & a(x) \\ 0 & 0 \end{pmatrix}, x \in sl_2(k)$$

So  $\rho([x, y]) = [\rho(x), \rho(y)] = 0, \forall x, y \in sl_2(k)$  and therefore  $\rho(e) = \rho(f) = \rho(h) = 0$ . So  $r \equiv 0$  and any linear section  $s : k \rightarrow V$  defines a splitting  $V = V_0 \oplus k$  representations of  $sl_2(k)$ .

a'') If  $n \neq 0$  consider the operator  $A := \rho(\Delta) \in End(V)$ . It is clear that the subspace  $V_n \subset V$  is  $A$ -invariant and it follows from Problem 5 c) that the restriction of  $A$  on  $V_n$  is equal to  $\frac{n(n+2)}{2} Id_{V_n}$ . On the other hand  $A$  acts on the quotient space  $V/V_n = k$  as  $\rho_0(\Delta) = 0$ . Since  $\frac{n(n+2)}{2} \neq 0$  the space  $W := \{v \in V | Av = 0\} \subset V$  is a non-zero and  $V = V_n \oplus W$ . As follows from Problem 5 b) the subspace  $W$  is  $sl_2(k)$ -invariant. So  $V = V_n \oplus k$ .

Now we prove Lemma 7 by induction in  $dim(V)$ . Consider an exact sequence  $\{0\} \rightarrow V' \rightarrow V \rightarrow k \rightarrow \{0\}$  of representations of  $sl_2(k)$ . We know that it splits if the representation of  $sl_2(k)$  on  $V'$  is irreducible. If  $V'$  is reducible choose an irreducible subrepresentation  $W \subset V'$  and consider the exact sequence  $\{0\} \rightarrow V'/W \rightarrow V/W \rightarrow k \rightarrow \{0\}$ . By the inductive assumptions it splits and there exist an  $sl_2(k)$ -equivariant section  $s : k \hookrightarrow V/W$ . Let  $\tilde{V} \subset V$  be the preimage of  $Im(s)$ . We have an exact sequence  $\{0\} \rightarrow V' \rightarrow \tilde{V} \rightarrow k \rightarrow \{0\}$ . Using once more the inductive assumption we see that there exists an  $sl_2(k)$ -equivariant section  $\tilde{s} : k \hookrightarrow V$ . □

**Theorem 0.8.** *Any finite-dimensional representation of the Lie algebra  $sl_2(k)$  is completely reducible.*

*Proof.* We have to show that for any exact sequence

$$\{0\} \rightarrow V' \rightarrow V \rightarrow V'' \rightarrow \{0\}$$

of representations of  $sl_2(k)$  there exists an  $sl_2(k)$ -equivariant section  $\tilde{s} : V'' \rightarrow V$  of the projection  $p : V \rightarrow V''$ .

Consider the exact sequence

$$\{0\} \rightarrow V' \otimes V''^\vee \rightarrow V \otimes V''^\vee \rightarrow V'' \otimes V''^\vee \rightarrow \{0\}$$

Let  $kId_{V''} \subset V'' \otimes V''^\vee = End(V'')$  be the subspace of scalar operators and  $\{0\} \rightarrow V' \otimes V''^\vee \rightarrow W \rightarrow kId_{V''} \rightarrow \{0\}$  be the exact sequence where  $W := q^{-1}(kId_{V''}) \subset V \otimes V''^\vee$  and  $q : V \otimes V''^\vee \rightarrow V'' \otimes V''^\vee$  is the map induced by the projection  $p : V \rightarrow V'' = V/V'$ . As follows from Lemma 7 there exists an  $sl_2(k)$ -equivariant section  $s : kId_{V''} \hookrightarrow V \otimes V''^\vee$  of the projection  $q$ . Let  $s' := s \otimes Id_{V''} : V'' \hookrightarrow V \otimes V''^\vee \otimes V''$  and

$$\tilde{s} := (Id_V \otimes Tr_{V''}) \circ s' : V'' \rightarrow V$$

where

$$Tr_{V''} : V''^\vee \otimes V'' = End(V'') \rightarrow k$$

is the trace map. I'll leave for you to prove that  $\tilde{s} : V'' \rightarrow V$  is an  $sl_2(k)$ -equivariant section of the projection  $p : V \rightarrow V''$ .  $\square$

**Problem 0.9.** a) Complete the proof of Theorem 8.

b) Extend the proof of Theorems 1 and 8 to the case when  $k$  is an arbitrary field of characteristic zero.

c) Let  $\rho : sl_2(k) \rightarrow End(V)$  be a finite-dimensional representation. Show that the operators  $\rho(e), \rho(f) \in End(V)$  are nilpotent and there exists unique representation  $\tilde{\rho} : SK(2, k) \rightarrow Aut(V)$  such that

$$\tilde{\rho}\left(\begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix}\right) = \exp(a\rho(e))$$

and

$$\tilde{\rho}\left(\begin{pmatrix} 1 & 0 \\ b & 1 \end{pmatrix}\right) = \exp(b\rho(f))$$

From now on we assume that  $k$  is an arbitrary closed field of arbitrary characteristic.

d) Let  $\mathfrak{g}$  be a Lie algebra,  $\rho : \mathfrak{g} \rightarrow End(V)$  a finite-dimensional irreducible representation and  $A \in End_{\mathfrak{g}}(V)$  [that is  $A$  is a linear operator such that  $A\rho(x) = \rho(x)A, \forall x \in \mathfrak{g}$ ]. Show that  $A = cId_V$  for some  $c \in k$ .

e) Give an example of a reducible 2-dimensional representation  $\rho$  of a Lie algebra  $\mathfrak{g}$  such that  $End_{\mathfrak{g}}(V) = kId_V$ .

f) Let  $\mathcal{Z}U(\mathfrak{g})$  be the center of  $U(\mathfrak{g})$ . Show that for any irreducible representation  $\rho : \mathfrak{g} \rightarrow \text{End}(V)$  and any  $z \in \mathcal{Z}U(\mathfrak{g})$  we have  $\rho(u) = c_\rho(z)\text{Id}, c_\rho(z) \in k$ .

g) Assume that  $\text{char}(k) = p > 0$ . Show that  $e^p, f^p, h^p - h \in \mathcal{Z}sl_2(k)$ .

h)\* Show that any irreducible representations of  $sl_2(k)$  such that  $\rho(e^p) = \rho(f^p) = \rho(h^p - h) = 0$  is equivalent to a representation  $\rho_n$  from the problem 12 in the first lecture for unique  $n < p$ .

i) Show that any exact sequences  $\{0\} \rightarrow V_i \rightarrow V \rightarrow V_j \rightarrow \{0\}$  of representations of  $sl_2(k)$  splits if  $i + j \neq p - 2$ .

j)\* Construct an example of a non-split exact sequences  $\{0\} \rightarrow V_i \rightarrow V \rightarrow V_j \rightarrow \{0\}$  for  $i + j = p - 2$

k) The Lie algebra  $sl_2(k)$  is solvable if  $\text{char}(k) = 2$ . Where does the proof of theorem of Lie fail?

l) Prove theorem 5.33 from the book of A.Kirillov.

From now on [at least for a while] we will follow the book of A.Kirillov available on [www.math.sunysb.edu/~kirillov/liegroups/](http://www.math.sunysb.edu/~kirillov/liegroups/). We start from the chapter 5.3 [page 75]. A couple of remarks. When written

a) *complex* read an algebraically closed field  $\bar{k}$  of characteristic zero.

b) *real* read a field  $k$  of characteristic zero such that  $\bar{k}$  is the closure of  $k$ .

c) *complexification* of a  $k$ -Lie algebra  $\mathfrak{g}$  read the  $\bar{k}$ -Lie algebra  $\mathfrak{g}_k \otimes \bar{k}$ .

An explanation [the proof of Proposition 5.31]. One should say

We claim that  $W$  is stable under the action of any  $h \in \mathfrak{g}'$ ;

(5.10)  $hv^k = \lambda(h)v^k + \sum_{l < k} a_{kl}(h)v^l$  and moreover if  $\lambda(ad_x^j(h)) = 0, \forall j > 0$  then  $hv^k = \lambda(h)v^k, \forall k \geq 0$

The proof is by induction in  $k$ .